

# ELEMENTARILY $\lambda$ -HOMOGENEOUS BINARY FUNCTIONS

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ABSTRACT. Let  $S$  and  $T$  be sets with  $S$  infinite, and  $*$ :  $S \times S \rightarrow T$  be a function. Further, suppose that  $\lambda$  is a cardinal such that  $\aleph_0 \leq \lambda \leq |S|$ . Say that  $(S, T, *)$  is *elementarily  $\lambda$ -homogeneous* provided  $(X, T, *)$  is elementarily equivalent to  $(Y, T, *)$  for all subsets  $X$  and  $Y$  of  $S$  of cardinality  $\lambda$ . In this note, we classify the elementarily  $\lambda$ -homogeneous structures  $(S, T, *)$ . As corollaries, we characterize certain mathematical structures  $\mathfrak{S}$  which are also “elementarily  $\lambda$ -homogeneous” in the sense that all substructures of  $\mathfrak{S}$  of cardinality  $\lambda$  are elementarily equivalent. Among our corollaries is a generalization of a theorem due to Manfred Droste.

## 1. INTRODUCTION

Let  $L$  be a first-order language with equality, and suppose that  $\mathbf{M}$  is an infinite  $L$ -structure with universe  $M$ . For an infinite cardinal number  $\lambda \leq |M|$ ,  $\mathbf{M}$  is  *$\lambda$ -homogeneous* if any two substructures of  $\mathbf{M}$  of cardinality  $\lambda$  are isomorphic. This notion was considered some time ago by W.R. Scott ([11]). In this paper, he characterizes the infinite abelian groups  $G$  which are  $|G|$ -homogeneous. In [7] and [8], the author extends Scott’s results to infinite unitary modules over a commutative ring  $R$ , calling an infinite module  $M$  over  $R$  *congruent* if and only if every submodule  $N$  of  $M$  of the same cardinality as  $M$  is isomorphic to  $M$  (that is,  $M$  is  $|M|$ -homogeneous). Variants of the notion of homogeneity have also received attention in model theory, graph theory, group theory, and topology. For example, in [2], Gibson, Pouzet, and Woodrow characterize the relational structures  $\mathbf{X} := (X, R_i: i \in I)$  for which there exists a cardinal  $\lambda$  with  $\aleph_0 \leq \lambda \leq |X|$  such that  $\mathbf{X}$  has but finitely many substructures of size  $\lambda$  up to isomorphism<sup>1</sup>. Their work generalizes previous results by Kierstead and Nyikos who in [4] determine hypergraphs  $\mathbf{G}$  with but finitely many induced subgraphs (up to isomorphism) for some infinite cardinal  $\kappa$ . Transitioning to group theory, Robinson and Timm call a group  $G$  an *hc group* provided any two subgroups of  $G$  of finite index are isomorphic ([9]). An abelian group with this property is called *minimal*, and minimal abelian groups have also received attention in the literature (see [5] and [10]). A topological space  $\mathbf{X} := (X, \mathcal{O})$  is called a *Toronto space* if  $\mathbf{X}$  is homeomorphic to all of its subspaces of cardinality  $|X|$ . The countably infinite Toronto spaces have all been classified, but the question of whether there exists an uncountable non-discrete Hausdorff Toronto space remains open (see [12] for details).

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<sup>1</sup>Each  $R_i$  is a relation of finite arity on  $X$ . It is not assumed that the arities have a finite bound.

We now describe a related but more general notion. Suppose that  $\mathbf{M}$  is an infinite  $L$ -structure, and let  $\lambda$  be an infinite cardinal such that  $\lambda \leq |M|$ . Say that  $\mathbf{M}$  is *elementarily  $\lambda$ -homogeneous* if any two substructures of cardinality  $\lambda$  are elementarily equivalent. Manfred Droste classifies the elementarily  $\lambda$ -homogeneous structures  $(X, R)$ , where  $R$  is a binary relation on  $X$  and  $\lambda \leq |X|$  ([1], Theorem 1.1). Years later, the author determines the elementarily  $\lambda$ -homogeneous structures  $\mathbf{A} := (A, f)$ , where  $f: A \rightarrow A$  is a function and  $\aleph_0 \leq \lambda \leq |A|$ .

In this paper, we consider binary functions  $*: S \times S \rightarrow T$ , where  $S$  and  $T$  are sets with  $S$  infinite and  $\lambda$  is an infinite cardinal such that  $\lambda \leq |S|$ . Then  $(S, T, *)$  is  $\lambda$ -homogeneous if  $(X, T, *)$  is isomorphic to  $(Y, T, *)$  for any subsets  $X$  and  $Y$  of  $S$  of size  $\lambda$  and elementarily  $\lambda$ -homogeneous if  $(X, T, *)$  is elementarily equivalent to  $(Y, T, *)$  for all subsets  $X$  and  $Y$  of  $S$  of size  $\lambda$ . Our main result classifies the elementarily  $\lambda$ -homogeneous binary functions. As applications, we obtain elementarily  $\lambda$ -homogeneous-themed corollaries for metric spaces and directed graphs. Further, we generalize Theorem 1.1 of [1].

## 2. MAIN RESULTS

We begin by fixing an infinite set  $S$ , a set  $T$  disjoint from  $S$ , and a function  $*: S \times S \rightarrow T$ . The associated parameters for the structure  $\mathfrak{U} := (S, T, *)$  are as follows: equality, a single binary function symbol  $*$ , and for each  $t \in T$ , a constant  $c_t$ . Interpretation of the parameters in  $\mathfrak{U}$  is canonical; the universe of  $\mathfrak{U}$  is  $S \cup T$ ,  $*$  names  $*$ , and each constant  $c_t$  names  $t \in T$ . Further, all variable assignments take on *only* values in  $S$  (and quantification of variables is solely over  $S$  as well). To streamline notation (since no confusion shall result), we denote  $*$  by  $*$  and each constant  $c_t$  simply by  $t$ . Moreover, for  $s_1, s_2 \in S$ , we shall denote  $*((s_1, s_2))$  by the more compact  $s_1 * s_2$ .

Now let  $X$  and  $Y$  be subsets of  $S$ , and consider the structures  $(X, T, *)$  and  $(Y, T, *)$ . Then  $(X, T, *)$  is *isomorphic* to  $(Y, T, *)$ , denoted  $(X, T, *) \cong (Y, T, *)$ , if there is a bijection  $f: X \rightarrow Y$  such that  $a * b = f(a) * f(b)$  for all  $a, b \in X$ . More generally,  $(X, T, *)$  is *elementarily equivalent* to  $(Y, T, *)$  provided for any sentence  $\varphi$  in the language outlined above,  $(X, T, *) \models \varphi$  if and only if  $(Y, T, *) \models \varphi$ .

**Remark 1.** *Suppose that  $X$  and  $Y$  are subsets of  $S$  such that  $(X, T, *) \cong (Y, T, *)$ . Then also  $(X, T, *) \equiv (Y, T, *)$ . Thus for any infinite  $\lambda \leq |S|$ : if  $(S, T, *)$  is  $\lambda$ -homogeneous, then  $(S, T, *)$  is elementarily  $\lambda$ -homogeneous.*

Throughout this section, we shall make repeated use of the following lemma.

**Lemma 1.** *Suppose  $S$  is an infinite set of size  $\kappa$  and  $*: S \times S \rightarrow T$  is a function such that  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous. Assume further that  $(S, T, *) \models \exists x \varphi(x)$  for some formula  $\varphi(x)$  (in which at most the variable  $x$  occurs free). Then there exists  $\{s_i: i < \kappa\} \subseteq S$  such that  $(S \setminus \{s_i: i < j\}, T, *) \models \varphi(s_j)$  for all  $j < \kappa$ .*

*Proof.* We suppose that  $(S, T, *) \models \exists x\varphi(x)$ . Since  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous, it follows that  $(X, T, *) \models \exists x\varphi(x)$  for every  $X \subseteq S$  of cardinality  $\kappa$ . Hence for such an  $X$ , there is  $x_0 \in X$  such that  $(X, T, *) \models \varphi(x_0)$ ; set  $X_\varphi := \{x_0 \in X : (X, T, *) \models \varphi(x_0)\}$ . Now let  $\pi$  be a choice function for  $\{X_\varphi : X \subseteq S \text{ and } |X| = \kappa\}$ , and pick  $z \notin S$  arbitrarily. Transfinite Recursion furnishes us with a unique function  $F$  with domain  $ORD$  (the class of ordinal numbers) such that for any  $j \in ORD$ :

$$(2.1) \quad F(j) := \begin{cases} \pi(S \setminus \{F(i) : i < j\}) & \text{if } \{F(i) : i < j\} \subseteq S \text{ and } |S \setminus \{F(i) : i < j\}| = \kappa; \\ z & \text{otherwise.} \end{cases}$$

It is clear that  $F$  is injective on  $\{k \in ORD : F(k) \in S\}$ ; hence (as  $S$  is a set)  $z \in \text{ran}(F)$ . Let  $\alpha \in ORD$  be least such that  $F(\alpha) = z$ . Observe that  $\alpha \geq \kappa$ . Finally, for  $i < \kappa$ , set  $s_i := F(i)$ . Then  $\{s_i : i < \kappa\}$  yields the required set.  $\square$

We now present the main result of this note.

**Theorem 1.** *Let  $S$  and  $T$  be sets with  $S$  infinite of cardinality  $\kappa$ , and suppose that  $*$ :  $S \times S \rightarrow T$  is a function. Then  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous if and only if there exists a (strict) well-order  $<$  on  $S$  and elements  $a, b, c \in T$  (not necessarily distinct) such that*

- (1)  $(S, <)$  has the order type of a cardinal, and
- (2) for all  $s_1, s_2 \in S$ :

$$(2.2) \quad s_1 * s_2 = \begin{cases} a & \text{if } s_1 = s_2, \\ b & \text{if } s_1 < s_2, \text{ and} \\ c & \text{if } s_1 > s_2. \end{cases}$$

*Proof.* Suppose first that  $<$  and  $(S, T, *)$  satisfy (1) and (2) above. Now assume that  $X \subseteq S$  and that  $|X| = |S|$ . Then  $(S, <) \cong (X, <)$ . Let  $f: S \rightarrow X$  be an order isomorphism, and let  $R_a, R_b,$  and  $R_c$  denote the relations  $=, <, \text{ and } >$  on  $S$ , respectively. Now let  $i \in \{a, b, c\}$  be arbitrary. Then for any  $s_1, s_2 \in S$ , we have  $s_1 * s_2 = i$  if and only if  $s_1 R_i s_2$  if and only if  $f(s_1) R_i f(s_2)$  if and only if  $f(s_1) * f(s_2) = i$ . Thus  $(S, T, *) \cong (X, T, *)$ , and  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous by Remark 1.

Conversely, we assume that  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous. Pick  $s \in S$ , and set  $s * s := a \in T$ . Then of course,  $(S, T, *) \models \exists x(x * x = a)$ . Lemma 1 supplies us with a set  $\{s_i : i < \kappa\} \subseteq S$  such that  $(S \setminus \{s_i : i < j\}, T, *) \models s_j * s_j = a$  for all  $j < \kappa$ . Setting  $X := \{s_i : i < \kappa\}$ , we have  $(X, T, *) \models \forall x(x * x = a)$ . As  $(S, T, *) \equiv (X, T, *)$ ,

$$(2.3) \quad s * s = a \text{ for all } s \in S.$$

Next, choose distinct  $\alpha, \beta \in S$  and set  $\alpha * \beta := b \in T$ . For  $s \in S$ , let  $L_b(s) := \{x \in S \setminus \{s\} : x * s = b\}$  and  $R_b(s) := \{x \in S \setminus \{s\} : s * x = b\}$ . We now prove that

$$(2.4) \quad \text{for all } s \in S: |L_b(s)| = \kappa \text{ or } |R_b(s)| = \kappa.$$

Suppose not, and let  $s \in S$  be such that  $|L_b(s)| < \kappa$  and  $|R_b(s)| < \kappa$ . Now set  $X := S \setminus (L_b(s) \cup R_b(s))$ . Then observe that  $|X| = \kappa$  and  $(X, T, *) \models \exists x \forall y (y \neq x \Rightarrow (x * y \neq b) \wedge (y * x \neq b))$ . By homogeneity<sup>2</sup>,

$$(2.5) \quad (S, T, *) \models \exists x \forall y (y \neq x \Rightarrow (x * y \neq b) \wedge (y * x \neq b)).$$

Invoking Lemma 1 again, we obtain a set  $\{s_i : i < \kappa\} \subseteq S$  such that  $(S \setminus \{s_i : i < j\}, T, *) \models \forall y (y \neq s_j \Rightarrow (s_j * y \neq b) \wedge (y * s_j \neq b))$  for all  $j < \kappa$ . Setting  $X := \{s_i : i < \kappa\}$ , we see that

$$(2.6) \quad (X, T, *) \models \forall x \forall y (x \neq y \Rightarrow x * y \neq b).$$

The homogeneity of  $(S, T, *)$  and (2.6) gives

$$(2.7) \quad (S, T, *) \models \forall x \forall y (x \neq y \Rightarrow x * y \neq b),$$

which contradicts the fact that  $\alpha * \beta = b$ . This verifies (2.4).

Now pick  $s \in S$  arbitrarily. We may assume without loss of generality that

$$(2.8) \quad |R_b(s)| = \kappa,$$

as a symmetric argument can be used to deal with the case  $|L_b(s)| = \kappa$ . Applying the homogeneity of  $(S, T, *)$ , we deduce from (2.8) that

$$(2.9) \quad (S, T, *) \models \exists x \forall y (x \neq y \Rightarrow x * y = b).$$

Another application of Lemma 1 provides us with a set  $\bar{S} := \{\bar{s}_i : i < \kappa\} \subseteq S$  with the property that

$$(2.10) \quad \bar{s}_i * \bar{s}_j = b \text{ for all } i, j \text{ such that } i < j < \kappa.$$

Next suppose that, conversely,

$$(2.11) \quad \bar{s}_j * \bar{s}_i = b \text{ for all } i, j \text{ such that } i < j < \kappa.$$

We conclude from (2.3), (2.10), (2.11), and the homogeneity of  $(S, T, *)$  that

$$(2.12) \quad (S, T, *) \models (\forall x (x * x = a)) \wedge (\forall y \forall z (y \neq z \Rightarrow (y * z = b))).$$

<sup>2</sup>Throughout the article, “homogeneity” shall denote the property of being either elementarily  $\lambda$ -homogeneous or  $\lambda$ -homogeneous. Which is meant (and what  $\lambda$  is) should be clear from context.

Now simply choose any well-order  $<$  with  $(S, <) \cong (\kappa, \in)$ . Then it immediate that  $(S, T, *, <)$  satisfies (2.2) (with  $c = b$ ).

For the remainder of the proof, we assume

$$(2.13) \quad \bar{s}_{j_0} * \bar{s}_{i_0} := c \neq b \text{ for some } i_0 < j_0 < \kappa.$$

Recall from (2.10) that if  $i_0 < j < \kappa$ , then  $\bar{s}_{i_0} * \bar{s}_j = b$ . We deduce that  $|R_c(\bar{s}_{i_0}) \cap \bar{S}| < \kappa$ . Applying (2.4) to the elementarily  $\kappa$ -homogeneous structure  $(\bar{S}, T, *)$  and arguing as in (2.9), it follows that  $(\bar{S}, T, *) \models \exists x \forall y (y \neq x \Rightarrow y * x = c)$ . An argument analogous to the one used to establish the existence of  $\bar{S}$  yields a set  $\bar{\bar{S}} := \{\bar{\bar{s}}_i : i < \kappa\} \subseteq \bar{S}$  such that

$$(2.14) \quad \bar{\bar{s}}_j * \bar{\bar{s}}_i = c \text{ for all } i, j \text{ such that } i < j < \kappa.$$

We claim that

$$(2.15) \quad \bar{\bar{s}}_j = \bar{s}_j \text{ for all } j < \kappa.$$

To prove (2.15), fix  $j < \kappa$  and suppose that (2.15) holds for all  $i < j$ . Since  $\bar{\bar{S}} \subseteq \bar{S}$ , we have

$$(2.16) \quad \bar{\bar{s}}_j = \bar{s}_{j'} \text{ for some } j' < \kappa.$$

It suffices to show that  $j' = j$ . Suppose first that  $j' < j$ . Then the inductive hypothesis along with (2.16) yields  $\bar{\bar{s}}_j = \bar{s}_{j'} = \bar{\bar{s}}_{j'}$ , and this is absurd. Now suppose that  $j < j'$ . By construction of  $\bar{\bar{S}}$ , we have  $\bar{s} * \bar{\bar{s}}_j = c$  for all  $\bar{s} \in \bar{S} \setminus \{\bar{s}_i : i \leq j\}$  (by the inductive hypothesis)  $\bar{S} \setminus (\{\bar{s}_i : i < j\} \cup \{\bar{s}_{j'}\}) =$  (by (2.16))  $\{\bar{s}_k : k \geq j, k \neq j'\}$ . Summarizing,

$$(2.17) \quad \bar{s}_k * \bar{\bar{s}}_j = c \text{ for all } k \geq j, k \neq j'.$$

In particular,  $\bar{s}_j * \bar{\bar{s}}_j = \bar{s}_j * \bar{s}_{j'} = c$ . But  $j < j'$ , and so (2.10) implies that  $\bar{s}_j * \bar{s}_{j'} = b$ . This contradicts the fact that  $b$  and  $c$  are distinct, and the proof of (2.15) is complete.

Upon collecting (2.3), (2.10), (2.14), and (2.15), we see that  $\bar{S} = \{\bar{s}_i : i < \kappa\}$  satisfies the following for all  $\bar{s}_i, \bar{s}_j \in \bar{S}$ :

$$(2.18) \quad \bar{s}_i * \bar{s}_j = \begin{cases} a & \text{if } i = j, \\ b & \text{if } i < j, \text{ and} \\ c \neq b & \text{if } i > j. \end{cases}$$

Now define a relation  $<$  on  $S$  as follows:

$$(2.19) \quad x < y \text{ if and only if } x \neq y \text{ and } x * y = b.$$

It is immediate that  $<$  is irreflexive. Moreover, (2.18) implies that  $(\bar{S}, T, *) \models \forall x \forall y \forall z ((x \neq y \wedge x * y = b) \wedge (y \neq z \wedge y * z = b)) \Rightarrow (x \neq z \wedge x * z = b)$ . Since  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous, we see that  $<$  is a transitive relation on  $S$ . By an analogous argument, it is easy to show that any two distinct elements of  $S$  compare under  $<$ . Thus  $<$  is a total order on  $S$ .

Next, we prove that  $<$  is a well-order on  $S$ . Toward this end, we begin by proving that

$$(2.20) \quad \text{for any } s \in S, \text{ } \textit{seg}(s) := \{x \in S : x < s\} \text{ has cardinality less than } \kappa.$$

Suppose not, and let  $s \in S$  be such that  $|\textit{seg}(s)| = \kappa$ . Then  $(\textit{seg}(s) \cup \{s\}, T, *) \models \exists x \forall y (y \neq x \Rightarrow y * x = b)$ . Homogeneity implies that also

$$(2.21) \quad (\bar{S}, T, *) \models \exists x \forall y (y \neq x \Rightarrow y * x = b).$$

Now, since  $\kappa$  is an infinite cardinal, it follows that  $\kappa$  is a limit ordinal. Hence for any  $i < \kappa$ , also  $i + 1 < \kappa$ . Moreover, for any  $i < \kappa$ , (2.18) gives  $\bar{s}_{i+1} * \bar{s}_i = c \neq b$ . But then  $(\bar{S}, T, *) \models \forall x \exists y (y \neq x \wedge y * x \neq b)$ . This contradicts (2.21), and (2.20) is established. We now show that  $<$  well-orders  $S$ . To see this, let  $S' \subseteq S$  be nonempty, and choose  $s_0 \in S'$ . The fact that  $\kappa$  is a limit along with (2.18) implies that  $(\bar{S}, T, *) \models \varphi := \forall x \exists y (x \neq y \wedge x * y = b)$ . Thus also  $(S, T, *) \models \varphi$ , and so

$$(2.22) \quad \text{there is } s_1 \in S \text{ such that } s_0 < s_1.$$

It is immediate from (2.18) that  $(\bar{S}, T, *) \models \exists x \forall y (x \neq y \Rightarrow x * y = b)$ . Further, (2.20) implies that  $|S' \cup (S \setminus \textit{seg}(s_1))| = \kappa$ . Homogeneity yields the following:

$$(2.23) \quad \text{there exists } x \in S' \cup (S \setminus \textit{seg}(s_1)) \text{ with } x \leq y \text{ for all } y \in S' \cup (S \setminus \textit{seg}(s_1)).$$

In particular,  $x \leq s_0 < s_1$ . Therefore,  $x \in \textit{seg}(s_1)$ . It now follows from (2.23) that  $x \in S'$ , and we have shown that  $<$  is a well-order on  $S$ . Moreover, (2.20) implies that  $(S, <)$  has the order type of a cardinal.

At long last, we are ready to verify (2.2). To wit, let  $s_1, s_2 \in S$  be arbitrary. If  $s_1 = s_2$ , then  $s_1 * s_2 = a$  follows immediately from (2.3). Suppose now that  $s_1 < s_2$ . Then  $s_1 * s_2 = b$  by definition of  $<$ . Now assume that  $s_1 > s_2$ . Observe from (2.18) that  $(\bar{S}, T, *) \models \varphi := \forall x \forall y ((x \neq y \wedge x * y = b) \Rightarrow y * x = c)$ . Therefore, also  $(S, T, *) \models \varphi$ , and we deduce that  $s_1 * s_2 = c$ . The proof is concluded.  $\square$

Recall that a function  $*$ :  $S \times S \rightarrow T$  is *commutative* provided  $s_1 * s_2 = s_2 * s_1$  for all  $s_1, s_2 \in S$ . The following corollary is immediately deduced from Theorem 1.

**Corollary 1.** *Let  $S$  and  $T$  be sets with  $S$  of infinite cardinality  $\kappa$ , and let  $*$ :  $S \times S \rightarrow T$  be a commutative binary function. Then  $(S, T, *)$  is elementarily  $\kappa$ -homogeneous if and only if there exist  $a, b \in T$  such that for all  $s_1, s_2 \in S$ :*

$$(2.24) \quad s_1 * s_2 = \begin{cases} a & \text{if } s_1 = s_2, \text{ and} \\ b & \text{if } s_1 \neq s_2. \end{cases}$$

The next natural problem is to study elementarily  $\lambda$ -homogeneous structures  $(S, T, *)$  where  $\aleph_0 \leq \lambda < \kappa$ . As we shall prove, every such structure is elementarily  $\kappa$ -homogeneous, but the converse holds if and only if  $*$  is commutative.

**Corollary 2.** *Let  $S$  and  $T$  be sets with  $S$  infinite of cardinality  $\kappa$ , and suppose that  $*$ :  $S \times S \rightarrow T$  is a function. Further, assume that  $\aleph_0 \leq \lambda < \kappa$ . Then  $(S, T, *)$  is elementarily  $\lambda$ -homogeneous if and only if there exist  $a, b \in T$  such that for all  $s_1, s_2 \in S$ :*

$$(2.25) \quad s_1 * s_2 = \begin{cases} a & \text{if } s_1 = s_2, \text{ and} \\ b & \text{if } s_1 \neq s_2. \end{cases}$$

*Proof.* Consider a structure  $(S, T, *)$  which satisfies (2.25), and let  $X, Y$  be subsets of  $S$  of cardinality  $\lambda$ . Further, let  $f: X \rightarrow Y$  be a bijection. Then it is clear that for any  $x_1, x_2 \in X$ ,  $x_1 * x_2 = f(x_1) * f(x_2)$ . Therefore,  $(X, T, *) \equiv (Y, T, *)$ , and  $(S, T, *)$  is elementarily  $\lambda$ -homogeneous.

Conversely, let  $(S, T, *)$  be elementarily  $\lambda$ -homogeneous, where  $\lambda < \kappa = |S|$ . Now let  $S_\lambda \subseteq S$  have size  $\lambda$ . Theorem 1 implies that there exist  $a, b, c \in T$  and a well-order  $<$  on  $S_\lambda$  such that

- (1)  $(S_\lambda, <)$  has the order type of a cardinal, and
- (2) for all  $s_1, s_2 \in S_\lambda$ :

$$(2.26) \quad s_1 * s_2 = \begin{cases} a & \text{if } s_1 = s_2, \\ b & \text{if } s_1 < s_2, \text{ and} \\ c & \text{if } s_1 > s_2. \end{cases}$$

If we can show that  $b = c$ , then

$$(S_\lambda, T, *) \models \varphi := (\forall x(x * x = a) \wedge \forall x \forall y(x \neq y \Rightarrow x * y = b)).$$

In this case, the elementarily  $\lambda$ -homogeneity of  $(S, T, *)$  implies that  $(S, T, *) \models \varphi$  as well. Thus it suffices to prove that  $b = c$ .

Suppose by way of contradiction that  $b \neq c$ . Analogous to (2.19), we define a relation  $\prec$  on  $S$  by  $x \prec y$  if and only if  $x \neq y$  and  $x * y = b$ . We argue that  $\prec$  is a total order on  $S$ . Let  $X_\lambda$  be an arbitrary subset of  $S$  of size  $\lambda$ . It suffices to prove that  $\prec$  is a total order on  $X_\lambda$ . But this follows immediately from the proof of

Theorem 1, (2.26), our assumption that  $b \neq c$ , and the elementarily  $\lambda$ -homogeneity of  $(S, T, *)$ . It is not hard to prove that, in fact,  $\prec$  is a well-order on  $S$ . To do so, it suffices to show that every nonempty, countable subset of  $S$  has a  $\prec$ -least element. As  $\lambda$  is infinite and  $X_\lambda \subseteq S$  of size  $\lambda$  is arbitrary, it is sufficient to establish that every nonempty subset  $Y \subseteq X_\lambda$  has a  $\prec$ -least element. Again, the proof unfolds as in the proof of (2.20) and (2.23) above.

We have established that  $\prec$  is a well-order on  $S$ . Now, for  $s \in S$ , we let  $seg_\prec(s) := \{x \in S : x \prec s\}$ . Since  $|S| = \kappa > \lambda$ , there is  $s_0 \in S$  such that  $|seg_\prec(s_0)| = \lambda$ . Set  $Y := seg_\prec(s_0) \cup \{s_0\}$ . Observe that  $|Y| = \lambda$  and that  $(Y, T, *) \models \varphi := \exists x \forall y (y \neq x \Rightarrow y * x = b)$ . Then by homogeneity, also  $S_\lambda \models \varphi$ , which by (2.26) is clearly impossible. This contradiction shows that  $b = c$ , and the proof is concluded.  $\square$

We conclude the section with another corollary, whose short proof we suppress.

**Corollary 3.** *Let  $S$  and  $T$  be sets with  $S$  infinite, and suppose that  $*$ :  $S \times S \rightarrow T$  is a function. Further, let  $\aleph_0 \leq \lambda \leq |S|$ . Then  $(S, T, *)$  is elementarily  $\lambda$ -homogeneous if and only if  $(S, T, *)$  is  $\lambda$ -homogeneous.*

### 3. SOME CONSEQUENCES

Our first application is a characterization of the discrete metrics. Recall that if  $S$  is a nonempty set, then a metric  $d: S \times S \rightarrow \mathbb{R}$  is called *discrete* if there exists some  $r > 0$  such that for any  $s_1, s_2 \in S$ :

$$d(s_1, s_2) = \begin{cases} 0 & \text{if } s_1 = s_2, \text{ and} \\ r & \text{if } s_1 \neq s_2. \end{cases}$$

Recall further that metric spaces  $(X, d)$  and  $(Y, \rho)$  are *isometric* if there is a surjective  $f: X \rightarrow Y$  (a so-called *isometry*) such that for all  $x_1, x_2 \in X$ ,  $d(x_1, x_2) = \rho(f(x_1), f(x_2))$  (such an  $f$  is automatically one-to-one). We now state our next result.

**Proposition 1.** *For an infinite metric space  $(S, d)$ , the following are equivalent:*

- (1)  *$(S, d)$  is elementarily  $\lambda$ -homogeneous for every infinite  $\lambda \leq |S|$ .*
- (2) *There is some infinite  $\lambda \leq |S|$  such that  $(S, d)$  is elementarily  $\lambda$ -homogeneous.*
- (3) *The metric  $d$  is discrete.*
- (4) *Any two infinite subspaces of  $S$  of the same cardinality are isometric.*
- (5) *There is some infinite  $\lambda \leq |S|$  such that any two subspaces of  $S$  of size  $\lambda$  are isometric.*

*Proof.* Let  $(S, d)$  be an infinite metric space.

(1)  $\Rightarrow$  (2) Clear.

(2)  $\Rightarrow$  (3) Immediate from Corollary 1, Corollary 2, and the fact that  $d$  is commutative.

(3)  $\Rightarrow$  (4) Trivial.

(4)  $\Rightarrow$  (5) Trivial.



(5)  $\Rightarrow$  (1) By Corollary 3,  $(S, d)$  is elementarily  $\lambda$ -homogeneous. Invoking Corollary 1 and Corollary 2, we see that  $d$  is a discrete metric on  $S$ . But then  $(S, d)$  is  $\alpha$ -homogeneous for every infinite  $\alpha \leq |S|$ . Corollary 3 applies again, and  $(S, d)$  is elementarily  $\alpha$ -homogeneous for every infinite  $\alpha \leq |S|$ .  $\square$

We now shift our focus to graphs, specifically, directed graphs. If  $\mathbf{G} := (V(G), E)$  is an infinite<sup>3</sup> undirected simple graph which is  $|V(G)|$ -homogeneous (that is,  $\mathbf{G} \cong \mathbf{H}$  for every induced subgraph  $\mathbf{H}$  of  $\mathbf{G}$  such that  $|V(H)| = |V(G)|$ ), then it is not hard to prove that  $\mathbf{G}$  is either empty or complete. This result is noted in [4], p. 699, for example. We apply the results of the previous section to determine the elementarily  $\lambda$ -homogeneous directed graphs  $\mathbf{G}$ . *In what follows, we allow an arbitrary (possibly infinite) number of loops and multiple directed edges.*

**Proposition 2.** *Let  $\mathbf{G} := (V(G), E)$  be an infinite directed graph, and let  $\lambda \leq |V(G)|$  be an infinite cardinal. Then  $\mathbf{G}$  is  $\lambda$ -homogeneous if and only if there exists a well-order  $<$  on  $V(G)$  with order type  $|V(G)|$  and cardinals  $\kappa_1$ ,  $\kappa_2$ , and  $\kappa_3$  such that the following hold:*

- (1) *there are  $\kappa_1$  loops at each vertex  $v \in V(G)$ ,*
- (2) *there are  $\kappa_2$  directed edges from  $v_1$  to  $v_2$  for  $v_1 < v_2$ , and*
- (3) *there are  $\kappa_3$  directed edges from  $v_2$  to  $v_1$  for  $v_1 > v_2$ .*

*Moreover, if  $\lambda < |V(G)|$ , then  $\kappa_2 = \kappa_3$ .*

*Proof.* Suppose that  $\mathbf{G} := (V(G), E)$  is an infinite directed graph and that  $\lambda \leq |V(G)|$  is an infinite cardinal. To begin, we must define what we mean by “elementarily  $\lambda$ -homogeneous” in this context. Observe that we may represent  $\mathbf{G}$  as follows:  $\mathbf{G} := (V(G), *)$ , where  $*$ :  $V \times V \rightarrow \text{CARD}$  (the class of cardinal numbers) is the function defined by  $v_1 * v_2 :=$  the cardinal number of the set of directed edges from  $v_1$  to  $v_2$ . We now let  $T$  be the range of  $*$  (note that  $T$  is a set by the replacement axiom). The result now follows from Theorem 1 and Corollary 2.  $\square$

To conclude this note, we generalize a theorem of Manfred Droste. In Theorem 1.1 of [1], it is shown that if  $X$  is an infinite set,  $R$  is a nonempty binary relation on  $X$ , and  $\lambda$  is a cardinal with  $\aleph_0 \leq \lambda \leq |X|$ , then  $(X, R)$  is elementarily  $\lambda$ -homogeneous (here, the associated language has equality and a single binary relation symbol  $\mathbf{R}$ ) if and only if  $R$  belongs to one of seven families of relations, three of which are singletons. If one allows the empty binary relation on  $X$ , then one obtains the following:

**Proposition 3** ([1], Corollary 2.5). *For each infinite cardinal  $\kappa$ , there are, up to isomorphism, precisely 8 binary relational structures  $(X, R)$  such that  $|X| = \kappa$  and  $(X, R)$  is  $\kappa$ -homogeneous.*

We invoke Theorem 1 to determine all structures  $(X, R_i: i \in I)$  which are elementarily  $\lambda$ -homogeneous, where  $\aleph_0 \leq \lambda \leq |X|$  and each  $R_i$  is a binary relation on  $X$

<sup>3</sup>That is, the set  $V(G)$  of vertices of  $\mathbf{G}$  is infinite.

(the associated language contains equality and a binary relation symbol  $\mathbf{R}_i$  for every  $i \in I$ ). Moreover, our argument should give the reader a sense for why the number 8 appears in the previous proposition.

Recall from the introduction that Gibson, Pouzet, and Woodrow ([2]) characterize the relational structures  $\mathbf{X} := (X, R_i : i \in I)$  (where there is no bound assumed on the arities of the relations  $R_i$ ) for which there exists a cardinal  $\lambda$  with  $\aleph_0 \leq \lambda \leq |X|$  such that  $\mathbf{X}$  has but finitely many substructures of size  $\lambda$  up to isomorphism. Their classification is a very deep structural result which is stated model-theoretically in terms of free definability. Our purpose is to apply Theorem 1 to give an explicit determination of the elementarily  $\lambda$ -homogeneous binary relational structures  $(S, R_i : i \in I)$ . It is with this determination that we conclude the paper.

**Proposition 4.** *Let  $S$  be an infinite set and suppose that  $\{R_i : i \in I\}$  is a collection of binary relations on  $S$ . Further, let  $\lambda \leq |S|$  be an infinite cardinal. Then  $(S, R_i : i \in I)$  is elementarily  $\lambda$ -homogeneous if and only if there is a well order  $<$  on  $S$  with order type of a cardinal such that every  $R_i$  is equal to one of the following relations:*

- (1)  $\emptyset$ ,
- (2)  $S \times S$ ,
- (3)  $=$ ,
- (4)  $\neq$ ,
- (5)  $<$ ,
- (6)  $\leq$ ,
- (7)  $>$ , or
- (8)  $\geq$ .

Moreover, if  $\lambda < |S|$ , then each  $R_i$  is one of the relations (1) – (4) above.

*Proof.* Assume that  $\aleph_0 \leq \lambda \leq |S|$ . Suppose first that  $<$  is a well-order on  $S$  with the order type of a cardinal and that  $\{R_i : i \in I\}$  is a collection of binary relations on  $S$  such that each  $R_i$  is one of (1)–(8) above subject to the restriction that if  $\lambda < |S|$ , then each  $R_i$  is one of (1)–(4). It suffices to prove that  $(S, R_i : i \in I)$  is  $\lambda$ -homogeneous. Toward this end, let  $S_1$  and  $S_2$  be subsets of  $S$  of size  $\lambda$ .

**Case 1**  $\lambda < |S|$ . Let  $f : S_1 \rightarrow S_2$  be a bijection. As each  $R_i$  is among (1)–(4), it is clear that  $f$  is an isomorphism between  $(S_1, R_i \cap S_1^2 : i \in I)$  and  $(S_2, R_i \cap S_2^2 : i \in I)$ .

**Case 2**  $\lambda = |S|$ . In this case,  $(S, <) \cong (S_1, <)$  since  $<$  has the order type of a cardinal. Let  $f : S \rightarrow S_1$  be an isomorphism between the structures  $(S, <)$  and  $(S_1, <)$ . Then it is easy to see that  $f$  is also an isomorphism between  $(S, R_i : i \in I)$  and  $(S_1, R_i \cap S_1^2 : i \in I)$ .

Conversely, suppose that  $(S, R_i : i \in I)$  is elementarily  $\lambda$ -homogeneous. Clearly we may assume that  $I \neq \emptyset$ . Now let  $\mathcal{F}$  be a nonempty, finite subset of  $\{R_i : i \in I\}$ . Modifying the index set if necessary, we may assume that  $\mathcal{F} = \{R_i : 1 \leq i \leq n\}$ .

Observe that  $(S, \mathcal{F})$  is also elementarily  $\lambda$ -homogeneous. For notational brevity in what follows, we set  $[n] := \{1, \dots, n\}$ . Now define  $*$ :  $S \times S \rightarrow \mathcal{P}([n])$  by

$$(3.1) \quad s_1 * s_2 := \{i \in [n] : s_1 R_i s_2\}.$$

We claim that

$$(3.2) \quad (S, \mathcal{P}([n]), *) \text{ is elementarily } \lambda\text{-homogeneous.}$$

To see this, let  $X$  and  $Y$  be subsets of  $S$  of size  $\lambda$ . We shall prove that  $(X, \mathcal{P}([n]), *) \equiv (Y, \mathcal{P}([n]), *)$ . Toward this end, suppose that  $\varphi$  is a sentence (in the language and syntax defined in the previous section) such that  $(X, \mathcal{P}([n]), *) \models \varphi$ . There is a natural interpretation  $\bar{\varphi}$  of  $\varphi$  in the associated language of  $(X, R_i : i \in [n])$  (which, we recall, contains equality and a binary relation symbol  $\mathbf{R}_i$  for each  $i \in [n]$ ) defined as follows: for any variables  $x$  and  $y$  (which need not be distinct) and  $A \subseteq [n]$ , replace every occurrence of  $x * y = A$  in  $\varphi$  with  $\bigwedge_{i \in [n]} \chi_i$ , where  $\chi_i := x R_i y$  if  $i \in A$  and  $\chi_i := \neg x R_i y$  otherwise. As  $(X, \mathcal{P}([n]), *) \models \varphi$ , it is obvious that  $(X, R_i : i \in [n]) \models \bar{\varphi}$ . Since  $(X, R_i : i \in I)$  is elementarily  $\lambda$ -homogeneous, also  $(Y, R_i : i \in I) \models \bar{\varphi}$ . Translating back, we see that  $(Y, \mathcal{P}([n]), *) \models \varphi$ , thereby establishing (3.2).

**Case 1**  $\lambda < |S|$ . Then Corollary 2 yields the existence of  $A, B \subseteq [n]$  such that for all  $s_1, s_2 \in S$ :

$$(3.3) \quad s_1 * s_2 = \begin{cases} A & \text{if } s_1 = s_2, \text{ and} \\ B & \text{if } s_1 \neq s_2. \end{cases}$$

Now, let  $i \in [n]$  be arbitrary. If  $i \in (A \cup B)^c$ , then it is clear from (3.3) that  $R_i = \emptyset$ . At the other extreme, if  $i \in A \cap B$ , then  $R_i = S \times S$ . Finally, if  $i \in A \setminus B$ , then  $R_i$  is the equality relation on  $S$ ; if  $i \in B \setminus A$ , then  $R_i$  is the inequality relation on  $S$ .

**Case 2**  $\lambda = |S|$ . Then Theorem 1 supplies us with  $A, B, C \subseteq [n]$  and a well-order  $<$  on  $S$  with order type of a cardinal such that for all  $s_1, s_2 \in S$ :

$$(3.4) \quad s_1 * s_2 = \begin{cases} A & \text{if } s_1 = s_2, \\ B & \text{if } s_1 < s_2, \text{ and} \\ C & \text{if } s_1 > s_2. \end{cases}$$

Again, let  $i \in [n]$  be arbitrary. Then of course  $i \in A \cup A^c$ ,  $i \in B \cup B^c$ , and  $i \in C \cup C^c$ . Considering all eight possibilities (the arguments proceed as in Case 1) shows that  $R_i$  belongs to one of the relations (1)-(8) given in the statement of the proposition. We have now proved the proposition for any finite  $\mathcal{F} \subseteq \{R_i : i \in I\}$ . But then  $|I| \leq 8$ , and the proposition is now established.  $\square$

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